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1	IN THE SUPREME COURT OF THE UNITED STATES		
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3	AGENCY FOR INTERNATIONAL :		
4	DEVELOPMENT, ET AL. :		
5	Petitioners : No. 12-10		
6	v. :		
7	ALLIANCE FOR OPEN SOCIETY :		
8	INTERNATIONAL, INC., ET AL. :		
9	x		
10	Washington, D.C.		
11	Monday, April 22, 2013		
12			
13	The above-entitled matter came on for oral		
14	argument before the Supreme Court of the United States		
15	at 11:02 a.m.		
16	APPEARANCES:		
17	SRI SRINIVASAN, ESQ., Deputy Solicitor General,		
18	Department of Justice, Washington, D.C.; on behalf of		
19	Petitioners.		
20	DAVID W. BOWKER, ESQ., Washington, D.C.; on behalf of		
20	DAVID W. BOWKER, ESQ., Washington, D.C.; on behalf of Respondents.		
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Page 3 1 PROCEEDINGS (11:02 a.m.) 2 3 CHIEF JUSTICE ROBERTS: We'll hear argument 4 next this morning in Case 12-10, Agency for International Development v. The Alliance for Open 5 Society International. 6 Mr. Srinivasan. 8 ORAL ARGUMENT OF SRI SRINIVASAN ON BEHALF OF THE PETITIONERS 9 10 MR. SRINIVASAN: Thank you, Mr. Chief 11 Justice, and may it please the Court: 12 Congress's comprehensive program to address the worldwide problem of HIV and AIDS includes a policy 13 14 of opposing prostitution and sex trafficking because they contribute to the diseases' spread. And 15 correspondingly, Congress determined -- determined that 16 the government should partner with and should grant 17 limited competitive Federal funding to those 18 organizations that agree with the policy opposing 19 prostitution and sex trafficking because organizations 20 21 that agree with that policy are most likely to carry out the Federal program in conformity with the Federal 22 policy priorities. 23 24 Now, no organization that carries out HIV 25 programming is required to subscribe to the Federal

- 1 government's views, but, if an organization wants to
- 2 partner with the Federal government and get Federal
- 3 funds to carry out the Federal program, well, that
- 4 organization --
- 5 CHIEF JUSTICE ROBERTS: Well, but I guess
- 6 the problem is that there are a number of different ways
- 7 you could carry out the program. And let's say you have
- 8 an organization that focuses on a particular -- you
- 9 know, the administration of hospitals or whatever it is
- 10 that's covered by the program, and they regard this
- issue as collateral to what they're concerned with.
- 12 There -- there have to be some limitations
- on what type of loyalty oath you can require them to
- 14 sign, isn't there?
- MR. SRINIVASAN: Well, I think,
- 16 Mr. Chief Justice, the way that Congress looked at this
- 17 was to look at -- at the organizations with which the
- 18 government is going to partner across the mine run of
- 19 situations, and I think what one can do is look at
- 20 Respondents' brief because Respondents encompass a
- 21 variety of different types of organizations.
- 22 But Respondents' brief itself tells you, at
- 23 pages 11 to 12 and at pages 32 and 33, that there are
- 24 going to be situations, in their own experience, in
- 25 which these issues about prostitution and --

Page 5 1 CHIEF JUSTICE ROBERTS: Well, what if 2 thev --3 MR. SRINIVASAN: -- and sex trafficking come 4 into issue. 5 CHIEF JUSTICE ROBERTS: I appreciate that. 6 What if they're not? What if the government 7 has, in addition to this policy, a strong policy in promoting -- you know, recycling, and so they require 8 everybody with whom they're going to do business, every 9 10 grantee, to adopt a policy in favor of using renewable 11 resources? Any problem with that? 12 MR. SRINIVASAN: Mr. Chief Justice, I think that would present a different question. Of course, you 13 14 know that it presents a different question, but it would be a little bit more difficult for the -- for the 15 following reason: That there is a germaneness component 16 17 to Congress's -- the constitutionality of Congress's 18 funding decisions in this area. And the more sweeping and the less germane the condition would be, the more 19 it's open to constitutional attack. 20 21 Now, this condition is very, very germane 22 because as -- because, as Congress found, prostitution and sex trafficking contribute to the spread of the 23 24 disease, and so it makes good sense that Congress would 25 have imposed this condition. And I think it's important

- 1 to understand --
- JUSTICE BREYER: Well -- well, on that
- 3 particular point, what should we do -- as far as I can
- 4 tell from the briefs, the people who work with the
- 5 prostitutes to try to prevent AIDS uniformly tell us
- 6 that, if you go to those prostitutes and you try to get
- 7 them to take steps to stop AIDS, it's very hard to do
- 8 if, at the same time, you've announced you're against
- 9 all prostitution.
- 10 So what they're saying is that the condition
- 11 imposed will interfere with the objective, and if there
- is a germaneness requirement -- and nobody says the
- 13 opposite. I mean, I've noted nobody denies what they're
- 14 saying in terms of the effectiveness of their work,
- 15 so -- I don't think.
- 16 At least, I didn't read them all with great
- 17 care; maybe you can point to somebody who does. But, if
- 18 everyone is telling us that this is counterproductive
- 19 and the exact opposite -- then can we say, well, it
- 20 isn't germane?
- 21 MR. SRINIVASAN: Well, Justice Breyer, I
- 22 don't think so, and two responses on that score. First
- 23 of all, everybody is not telling you that, and I'll tell
- 24 you who's not telling you that in a second.
- 25 But the more -- but the overarching point is

- 1 that this is a policy determination that Congress, of
- 2 course, took into account when it fashioned the statute,
- 3 and it concluded that it was important to have an
- 4 opposition to prostitution and sex trafficking.
- Now, as far as the organizations that aren't
- 6 telling you that, there is an amicus brief that's filed
- 7 by 46 organizations that it's in our support --
- JUSTICE BREYER: Well, that's quite a few,
- 9 yes. That's true.
- 10 MR. SRINIVASAN: -- that's in our support,
- 11 and the lead organization is the Coalition Against
- 12 Trafficking in Women, and they -- they support us, and
- 13 they think that the best way -- and they -- and they
- 14 argued this passionately in their brief -- they think
- 15 that the best way to provide services to the target
- 16 audience is under a rubric of opposition to prostitution
- 17 and sex trafficking.
- 18 And I would urge you to take a look at that
- 19 brief because it explains why the program should be
- 20 conducted in this fashion.
- Now, is there a debate about --
- 22 JUSTICE GINSBURG: Mr. Srinivasan, there
- 23 isn't -- at least I'm not familiar with anything quite
- like this, where, if you're not told, if you want to run
- 25 a government program, you have to speak the government's

- 1 speech.
- 2 This doesn't require the recipient to speak
- 3 to anybody at all, except to the government itself, to
- 4 say, I pledge that my policy is the government's policy.
- 5 So it's making somebody adopt, as her own, the
- 6 government's policy, rather than saying, I understand
- 7 that I get my government money, I have to follow the
- 8 government's rules about what I can say to the public.
- 9 Here -- and is -- is there anything else
- 10 quite like this, where you make a pledge to the
- 11 government, but with respect to third parties doesn't
- 12 apply?
- 13 MR. SRINIVASAN: Justice Ginsburg, if I
- 14 could just fight the premise of your question just very
- 15 slightly and then explain why I think this kind of
- 16 requirement makes sense in this particular context?
- 17 The goal of this is not to persuade somebody
- 18 to change their view. The goal of this is to partner
- 19 with organizations that self-identify as organizations
- 20 that agree with the government's policy priorities. And
- 21 the reason the government has done that and the reason
- 22 why Congress could've thought that that was a good idea is
- 23 straightforward, and that is that those organizations
- 24 that agree with Congress's policy priorities are going
- 25 to be more likely to be reliable and effective partners

- 1 in carrying out the government's program.
- 2 And one way to think about it is to envision
- 3 this: You have a circumstance in which you're down to
- 4 your last few dollars of a discretionary Federal
- 5 funding, and you're looking at two different
- 6 organizations that are competing for that money.
- 7 One of them comes to you and says, we agree
- 8 with your policy of an opposition to prostitution and
- 9 sex trafficking; and the other one says, we're not going
- 10 to tell you whether we agree, we're going to remain
- 11 studiously neutral, but we'll tell you that we'll
- 12 conduct our affairs in a manner that's not inconsistent
- 13 with your policy priorities.
- 14 I think it makes all the sense in the world
- 15 for Congress to decide that the government should
- 16 preferably partner with the former organization, rather
- 17 than the latter, because they're going to be more
- 18 reliable and more effective at carrying out the
- 19 government's program.
- 20 And there's another aspect of this that I
- 21 think that is important to highlight, and that is that
- 22 we're not just talking about circumstances in which the
- 23 conduct is -- is, arguably, going to be neutral, so that
- there's going to be no position taken.
- There's also going to be occasions in which

- 1 the organizations that are providing services are going
- 2 to have the opportunity to affirmatively embrace the
- 3 government's policy in opposition to prostitution and
- 4 sex trafficking.
- 5 JUSTICE ALITO: I'm not aware of any case in
- 6 which this Court has held that it is permissible for
- 7 Congress to condition Federal funding on the recipient's
- 8 expression of agreement with ideas with which the
- 9 recipient disagrees. I'm not aware of any case in which
- 10 that kind of compelled speech has been permitted.
- 11 And I would be interested in -- and it seems
- 12 to me like quite a -- a dangerous proposition. I would
- 13 be interested in whatever limitations you think there
- 14 might be on that rule, which seems to be the general
- 15 rule that you're advocating. Other than the requirement
- of germaneness, is there anything else?
- 17 MR. SRINIVASAN: There -- there is
- 18 germaneness, Justice Alito, and I can point to a couple
- 19 of other limiting principles that have been noted in
- 20 this Court's decisions and I think that are satisfied
- 21 here.
- 22 One is that Finley talked about -- and I
- 23 think it captures some other decisions in this -- this
- 24 respect, disallowing the government from leveraging its
- 25 control over funding conditions in a manner that

Page 11 1 services a speech-suppressive objective. And so you have to be careful, and I think this maps --2 3 JUSTICE SCALIA: Say it again. I didn't 4 understand the point. 5 MR. SRINIVASAN: It -- it -- the government is limited from leveraging its control over funding 6 conditions, so that it can achieve a speech --8 speech-suppressive objective. 9 And I think what the Court was getting at is 10 that you want to be careful that the speech condition --11 the speech-related condition is tightly tethered to the programmatic objective and not allow the government 12 to -- to have the program seep into other areas where it 13 14 doesn't have to go. JUSTICE ALITO: Well, let me give you this 15

16 example, which is mentioned in one of the amicus briefs.

17 The government provides lots of funding to universities

18 to -- in various forms, either directly or through

19 student loans, in the form of tax exemptions, so

20 anything that would be germane to the general purpose of

21 higher education, presumably, could be attached as a

22 condition to those funds.

Would that be correct?

24 MR. SRINIVASAN: With -- with certain

25 limitations. I mean, I think germaneness is a -- is a

- 1 criterion.
- JUSTICE ALITO: With what -- with what
- 3 limitations?
- 4 MR. SRINIVASAN: Well, I think --
- 5 JUSTICE ALITO: The government could have a
- 6 whole list of things -- of principles that it thinks
- 7 should be incorporated into higher education, and it
- 8 could require a university, as a condition of receiving
- 9 these -- this money, let's say directed through student
- 10 loans, to express agreement with all of these
- 11 propositions. Would that be true?
- MR. SRINIVASAN: Well, I -- well, I'm not
- 13 sure, Justice Alito, and of course it's going to be hard
- 14 for me to decide that we are not going to defend
- 15 something. But let me just give you a limiting idea
- 16 that's out there, which is that I think there is an
- 17 important distinction between circumstances in which the
- 18 government is partnering with an organization to carry
- 19 out a government program and circumstances in which the
- 20 government is extending a Federal subsidy to an
- 21 individual organization as kind of an across-the-board
- 22 entitlement.
- 23 So in the generally applicable
- 24 across-the-board --
- JUSTICE SCALIA: What do you mean by,

Official Page 13 1 "partnering"? How does this partnering differ -partnering differ from just giving them the money to do 2 the job? 3 4 MR. SRINIVASAN: Well, I don't know that it the differs from giving the money to do the job. 5 guess what I'm saying is there are going to be 6 circumstances, for example, like in Speiser, where the 8 financial question doesn't have to do with the expenditure of the money by the recipient in a manner 9 10 that's commensurate with congressional goals. 11 In that context, you're giving a generally applicable entitlement, and you're not so worried about 12 how the money is being spent because that person is not 13 14 partnering with the government in carrying out a Federal 15 program. Here, the organizations are partnering with the government in carrying out the Federal program 16 17 because it's the Federal HIV program that's --18 JUSTICE SCALIA: I don't know what you -what do you mean by, "partnering"? You're just saying 19 they are given money to carry out a particular program. 20 21 Is that all --22 MR. SRINIVASAN: Yes. 23 JUSTICE SCALIA: Is that all you mean by, "partnering"? 24

They are given money to

MR. SRINIVASAN:

25

Page 14 1 carry out --JUSTICE SCALIA: To carry out a particular 2 3 program? 4 MR. SRINIVASAN: But in concert with Federal policy priorities, so it's not just -- it's not just a 5 naked grant of money. If you had an entitlement, 6 Justice Scalia, for example, let's just consider your classic entitlement --8 9 JUSTICE SCALIA: I understand. It is -- it 10 is a naked grant of money to implement a particular 11 program. 12 MR. SRINIVASAN: To implement a particular 13 program and --14 JUSTICE SCALIA: Okay. And you call that "partnering with the Federal government"? 15 16 MR. SRINIVASAN: I do. 17 JUSTICE SCALIA: Terrible verb, anyway. 18 (Laughter.) MR. SRINIVASAN: Okay. My apologies for 19 that. For associating with the organization recipient 20 21 in carrying out a Federal program. 22 JUSTICE GINSBURG: Mr. Srinivasan, on this, what does seem to me unusual, as Justice Alito brought 23 24 out, requiring somebody to say, "I believe this" or "I 25 agree with the government on that." The Rust v.

- 1 Sullivan, which is one of the precedents on which you
- 2 rely, made it a point that the doctor was not required
- 3 to represent -- as his own views, not required to
- 4 represent an opinion that he doesn't hold.
- 5 He has to adhere to the government's program
- 6 in his dealings with the public, but he doesn't have to
- 7 say, "I agree with the government."
- 8 MR. SRINIVASAN: Yes. That's true, Justice
- 9 Ginsburg. But here's why --
- 10 JUSTICE GINSBURG: Is that just an
- 11 irrelevant consideration in Rust, that no one -- no one
- 12 was being obliged to say, I believe something, that they
- 13 don't believe?
- 14 MR. SRINIVASAN: Well, here's why I think it
- 15 makes sense in this context -- it is distinct in that
- 16 respect, but here's why I think it makes sense in this
- 17 specific context: What Congress wanted to do is secure
- 18 an ex ante commitment from the organizations with which
- 19 the government works to assure that they agreed with the
- 20 government's policy priorities.
- 21 Now, where these programs are carried out is
- 22 in the main, in foreign territory, in distant lands,
- 23 and, in that context, I think Congress would have
- 24 understood that monitoring of conduct can be
- 25 particularly challenging.

- 1 And that monitoring is made all the more
- 2 challenging because these issues can come into play
- 3 through a myriad of interactions between the
- 4 organizations that are working with the government and
- 5 the local communities and local officials.
- 6 JUSTICE BREYER: I see that, and I see you
- 7 have two sides to the policy question. And then it
- 8 seems to me that the case that Justice Ginsburg was
- 9 speaking of is pretty relevant. Why? Well, that case,
- 10 Regan and League of Women Voters, all seem quite
- 11 comparable.
- 12 They are trying to balance the -- the desire
- 13 of the government to further a policy objective with the
- 14 undesirability of the government invading what would
- 15 otherwise be a constitutional protected right to speech.
- 16 And the way they have done it is quite
- 17 technical and narrow, but it may be applicable. In
- 18 both, what they said was, don't worry about your
- 19 protected speech as much as you are because there is
- 20 another way you can do it here. You go through a -- an
- 21 independent structured organization. And where that
- 22 wasn't present, namely, the League of Women Voters, the
- 23 Court struck it down.
- Now, if that's the right framework, then,
- 25 here, I don't see how you could have an independently

- 1 structured organization for the reason that a group that
- 2 said, I am -- I am opposed completely to prostitution,
- 3 publicly, to get the money, and then set up a structure
- 4 that said the opposite, would be seen as totally
- 5 hypocritical. They wouldn't be able to get their
- 6 message across.
- 7 They wouldn't be able to express, in any
- 8 way, what it is they think about the -- the
- 9 administration of AIDS in the context -- anti-AIDS in
- 10 the context of prostitution.
- 11 That's a long question, but you see
- 12 where -- where I've ended up.
- 13 MR. SRINIVASAN: I think I do.
- JUSTICE BREYER: At the moment, for purposes
- 15 of the question. So why isn't this case more like
- 16 League of Women Voters and less like the other two?
- 17 MR. SRINIVASAN: For the following reasons,
- 18 Justice Breyer: There is an alternative affiliated
- 19 organizational vehicle in this case as well, and I think
- 20 that's constitutionally significant. Now, I'm not going
- 21 to quibble with Your Honor's point about how the
- 22 organization that's the funding recipient has made this
- 23 policy agreement and that that can have ripple effects.
- 24 But here's why that matters: The point of
- 25 having an alternate vehicle is not that it remedies a

- 1 constitutional problem that already exists. The point
- 2 of it is to get to this leveraging purpose that I was
- 3 talking about earlier, and it's to show that what the
- 4 government is doing is keeping the condition within its
- 5 appropriate confines, and it's not allowing that
- 6 condition to spread beyond that, into other realms.
- 7 And that purpose is fully served by the
- 8 organizational affiliate alternative here. And I think
- 9 it's important --
- 10 JUSTICE GINSBURG: But, Mr. Srinivasan,
- 11 there is a difference in this international setting --
- 12 you know, most of those separate affiliates was in
- 13 Taxation Without Representation, and it was the cure for
- 14 the Legal of Women Voters. But here, as the D.C.
- 15 District Court said in its opinion, which was in your
- 16 favor, oh, all you have to do is spin off a subsidiary
- 17 that gets the government money; it's just a simple
- 18 matter of corporate reorganization.
- 19 But you know that getting an NGO -- a new
- 20 NGO recognized in dozens of foreign countries is no
- 21 simple thing to accomplish. I mean, to take a concrete
- 22 example, look what happened about a year and a half ago
- 23 in Egypt, when the U.S. NGOs were indicted for
- 24 criminal -- for not complying with the permit
- 25 requirements of the country.

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So it's one thing to set up a 501(c)(3) and

501(c)(4) operating in the United States, each does its

thing. But to require an NGO to then, in the countries

where it's operating, get the necessary permits is quite

5 an arduous thing.

6 MR. SRINIVASAN: Well, Justice Ginsburg, I

7 guess it depends on which direction it runs as a

8 principal point. I mean, of course, the recipient

9 organization that's been conducting the program, to

10 date, can continue to conduct the program, and the

11 affiliate that's set up could be the alternate channel.

12 And so you could run in the opposite direction, and I

13 think you wouldn't run into that problem.

14 But I would like to address on this score an

15 important point, which is that I think Respondents have

16 suggested that there is a material distinction between,

17 Justice Breyer, the circumstances in Rust and the

18 circumstances in this case because Rust involves

19 separate programs within a legal entity, and this case

20 involves separate organizations.

21 And I think the point the Respondents are

22 trying to make is that there is a distinction because at

23 least, there, one legal entity could have multiple

24 programs, some of which are subject to the condition and

25 some of which are not, whereas, here, there is a

Page 20 1 difference because this condition applies to an entire 2 organization. 3 But I think that's a false premise, which --4 JUSTICE BREYER: I wasn't excepting that 5 one. 6 MR. SRINIVASAN: Okay. 7 JUSTICE BREYER: I was -- I mean, the main difference it seemed to me is, assuming all of that 8 away, is that, here, the separate structure does not 9 10 fulfill the constitutional need simply because the basic 11 condition has to do with express speech, because when A says, I believe in X, and then they set up a separate 12 structure -- and every one knows they have set it up; I 13 14 mean, that's the point of it -- and the structure says, "just kidding," nobody believes them from day one. 15 And so you can't do it, and, if the 16 17 government has its way and has awarded the thing properly, according to your criteria, the part that 18 won't be believed is the "just kidding" part. And so 19 the structure -- separate structure -- just doesn't work 20 21 in terms of communicating their belief. And I don't 22 think that's true in Rust, and I don't think it's true in Regan, and I do think it's true in FCC v. League of 23 24 Women Voters. 25 MR. SRINIVASAN: I quess I'd -- I'd make two

- 1 points, Justice Breyer.
- One is, as I was suggesting earlier, the
- 3 purpose of having this alternate channel is not to
- 4 remedy a constitutional violation that otherwise would
- 5 exist. I mean, of course, we start from the premise
- 6 that it's okay to require this condition at the front
- 7 end. It's not that it's unconstitutional, and the way
- 8 to compensate for that is to create this affiliate
- 9 alternative.
- 10 We think the condition's okay ab initio.
- 11 What the alternate vehicle does is to address this other
- 12 problem, that it shows that the condition is
- 13 appropriately tailored. It's not reaching beyond its
- 14 appropriate confines because it's allowing --
- JUSTICE BREYER: Boy, if -- if the
- 16 structure -- the separate structure is not really part
- 17 of a constitutional analysis, then the government could
- 18 say, why not? It's easy to find policy reasons, and
- 19 really find very, very decent and thoughtful people who
- 20 agree with the policy reason, you know?
- 21 There -- there are people on both sides of
- 22 these questions, and they come in and they say, okay,
- 23 we're giving money for an anti-abortion purpose or a
- 24 pro-abortion purpose, you know --
- 25 MR. SRINIVASAN: Well --

Page 22 JUSTICE BREYER: -- and -- and, suddenly, 1 people can't say anything in these areas in face of such 2 3 a condition. 4 MR. SRINIVASAN: Well, it's part of the -- as part of the constitutional analysis, I guess it's just 5 addressing a different part of the constitutional 6 analysis than -- than what Your -- Your Honor is 8 addressing. 9 I guess the other points that I'd make are twofold. One is that I think there is something to the 10 11 notion that, if the organizations are sufficiently separate, then -- as they have to be, to comply with the 12 regulations -- then it does work that one organization 13 14 can say that we have a particular policy, and the organization -- another organization -- another 15 organization can say that we have a different policy, 16 17 precisely because of the premise that they're sufficiently distinct. 18 19 So I'm not seeing the same degree of cognitive dissonance you are --20 21 JUSTICE SOTOMAYOR: But that's --22 MR. SRINIVASAN: -- and the other point I'd 23 make --24 JUSTICE SOTOMAYOR: I'm sorry. Finish answering him, and then I'll --25

- 1 MR. SRINIVASAN: Thank you, Justice
- 2 Sotomayor.
- 3 The other point I'd make is this: That the
- 4 speech-related objections that Respondents levy are
- 5 twofold, one is they complain about the threshold
- 6 condition. But the second is -- and this is -- made --
- 7 manifests at pages 11 to 12 and 32 and 33 of their
- 8 brief -- is that they want to engage in activities that
- 9 involve affirmative speech.
- 10 They want to be able to participate in the
- 11 dialogue about prostitution and sex trafficking and
- 12 whether they should be legalized. And, with respect to
- 13 that aspect of what Respondents are complaining --
- 14 complaining about, I think the alternate affiliated
- organization opportunity is a perfect remedy, in the
- 16 same way that it was in Rust and in the same way that
- 17 it -- that it was in Regan.
- 18 JUSTICE SOTOMAYOR: The problem that I have
- 19 with that answer is that it doesn't cure the
- 20 organization's need to stay true to its own beliefs
- 21 because if -- and I think this is what Justice Breyer is
- 22 trying to get to -- if it's truly an independent
- 23 organization speaking, then that's that organization's
- 24 belief; it's not an alternative under Rust to the needs
- of that organization to have its own personal views.

Page 24 1 And -- and so I have that problem, which is how is it an alternative for that organization to be 2 3 able to have its views? 4 Let me posit a hypothetical that I'm actually very troubled by. Let's assume a city 5 government is undertaking a campaign to prevent teen 6 pregnancy and its associated problems, and it wants to 8 promote the use of contraceptives that protect from contracting -- you know, diseases, things like that. 9 10 And some of its programs involve the 11 distribution of contraceptives, but others involve parenting classes for teenage mothers and offering them 12 free daycare. And a church seeks funds for the daycare 13 14 part and the parenting part. Can the city now say, because we have this 15 really important need to avoid sexually transmitted 16 17 diseases, anyone who seeks our funds also have to say they believe in the use of contraceptives? 18 19 MR. SRINIVASAN: Justice Sotomayor --20 JUSTICE SOTOMAYOR: The church there would 21 say, we don't believe, and why should we say we believe? 22 MR. SRINIVASAN: I -- I'd certainly understand why a church would be reluctant to do that. 23 24 I mean, I guess -- you know, one way to look at it is 25 that the city, I think, would have to think very long

- 1 and hard about whether that's a -- a desirable policy
- 2 objective, precisely because some of the organizations
- 3 with which it wants to work are going to have difficulty
- 4 abiding by it. And so there's going to have to be a
- 5 front-end determination, as a matter of policy, about
- 6 whether that's an appropriate thing to pursue.
- 7 But, if the city, as Congress did in this
- 8 case, thought that it was an appropriate thing to do,
- 9 then I think I would -- I think I would defend that --
- 10 apart from -- you know, free exercise issues of other
- 11 things that aren't in play here -- I think I would
- 12 defend it, as long as it's sufficiently germane and as
- 13 long as it's in furtherance of the policy objectives
- 14 that Congress -- or by, in your hypothetical, the
- 15 city --
- 16 JUSTICE ALITO: Well, let me give you
- 17 another example that's along the same lines. The
- 18 Federal government provides lots of funds to entities
- 19 and individuals who are involved in the provision of
- 20 health care.
- 21 So let's suppose Congress says that, we
- 22 think that the issue of guns is very germane to public
- 23 health, and therefore, we will not allow anybody to
- 24 receive any of these funds directly or indirectly,
- 25 unless that entity or person proclaims agreement with

- 1 whatever we happen to think at the moment about guns.
- 2 So they must either say we believe that guns
- 3 should be strictly limited -- access to them should be
- 4 strictly limited for public health purposes or that guns
- 5 should be freely available because we think that
- 6 promotes public health. That would be permissible,
- 7 wouldn't it?
- 8 MR. SRINIVASAN: I don't know that it would,
- 9 Justice Alito, on that --
- 10 JUSTICE ALITO: Well, why would it not?
- 11 MR. SRINIVASAN: -- because -- because I
- 12 think, first of all, it would depend on whether
- 13 there -- there is the requisite germaneness. It would
- 14 depend on whether, in fact, the organizations are
- 15 working with -- I'm trying to avoid using the word
- 16 "partnering with" -- but are working with the -- the
- 17 government in carrying out the program. It would depend
- 18 on those kinds of considerations.
- 19 And whether -- another point to be made here
- 20 is that a limitation that's been recognized in this
- 21 Court's cases is that, at the end of the day, the
- 22 government -- the government can't be seeking to
- 23 suppress speech or to suppress disfavored viewpoints,
- 24 even in the context of subsidization.
- 25 And you'd have to ask the question whether

- 1 that scheme is designed to do that. Now, if -- if it
- 2 crossed all those thresholds, then I think, yes, I would
- 3 defend that as well, but I do think that it presents
- 4 different and more difficult questions.
- 5 I would like to --
- 6 JUSTICE KENNEDY: One -- one thing before
- 7 your time is up. I have the same concerns that Justice
- 8 Ginsburg expressed about the difficulty of simply
- 9 creating structures in -- in foreign countries. If --
- 10 and I've looked through all of your cases.
- 11 What's your closest case -- your best case
- 12 for the fact that you should get extra deference because
- 13 this is the foreign affairs field? I mean, I think of
- 14 U.S. v. Curtis Wright. Anything more specific than
- 15 that?
- 16 MR. SRINIVASAN: I don't know that I have a
- 17 particular case, other than the doctrine, generally,
- 18 Justice Kennedy. But I do think that the foreign
- 19 location of this is significant vis-à-vis the concern
- 20 that I think many of you have raised about why have an
- 21 affirmative condition that requires espousal of a
- 22 policy.
- 23 Precisely because the conduct here is
- 24 carried out in foreign areas and precisely because it
- 25 can involve myriad interactions with local officials and

- 1 local policymakers, as Respondents admittedly want to
- 2 do, on these sensitive questions, it makes sense in this
- 3 context -- particular sense in this context to secure an
- 4 ex ante commitment of agreement with the government's
- 5 policy because that will have a self-policing aspect to
- 6 it.
- 7 It will be more designed to secure conduct
- 8 in those areas that, in conformity with Federal policy,
- 9 in a realm in which that conduct is particularly --
- 10 particularly difficult to monitor.
- 11 I'd like to reserve the balance of my time
- 12 for rebuttal, if I might.
- 13 CHIEF JUSTICE ROBERTS: Thank you, counsel.
- 14 Mr. Bowker?
- 15 ORAL ARGUMENT OF DAVID W. BOWKER
- 16 ON BEHALF OF THE RESPONDENTS
- 17 MR. BOWKER: Mr. Chief Justice, and may it
- 18 please the Court:
- 19 Respondents do not dispute that the Spending
- 20 Clause gives the government significant authority to
- 21 fund the programs of its choosing and to control speech
- 22 and conduct within those programs.
- The problem with the policy requirement is
- 24 that it aims at grantees, requiring that they profess a
- 25 personal belief and refrain from certain private speech

- 1 outside the context of the government program.
- In Rust v. Sullivan, the Court held that the
- 3 government could ban abortion-related speech in the
- 4 government's own family planning program, but the
- 5 grantees there were left unfettered in their personal
- 6 beliefs and in their private speech outside the program.
- 7 CHIEF JUSTICE ROBERTS: I don't see why this
- 8 is a -- you talk about banning their speech. The
- 9 government is just picking out who is an appropriate
- 10 partner to -- to assist in this project. It wants to go
- and find people who, like them, are opposed to
- 12 prostitution, and all they want to do is make sure that
- 13 you're opposed to prostitution.
- 14 It's like any other sort of condition -- you
- 15 know, we want to make sure that you haven't been
- 16 convicted of tax fraud over the last 10 years, so sign a
- 17 certification that you -- you haven't. Yes, it's
- 18 related to speech, but the whole program is about that.
- 19 Why would they want to sign up with somebody
- 20 who didn't share the objectives of the program?
- 21 MR. BOWKER: Well, I think the policy
- 22 requirement here has been applied a little differently
- 23 than Mr. Chief Justice suggests. It -- it is applied in
- 24 a way that is a funding condition, not part of the
- 25 selection criteria.

- 1 When the government goes out to select its
- 2 partners in this case, it -- it goes out with requests
- 3 for applications, and those requests for applications
- 4 pertain to the particular program at issue. And they
- 5 are very detailed about what precisely is required for
- 6 that program, including --
- 7 CHIEF JUSTICE ROBERTS: So it would be a
- 8 different case, in your -- your view, as if -- when they
- 9 have those criteria, they have one of them is, oh, by
- 10 the way, you must agree with the objective of the
- 11 program, which is to eliminate, to the extent possible,
- 12 prostitution and sex trafficking?
- 13 MR. BOWKER: No. I don't -- I don't think
- 14 that's right. I -- I think the government absolutely
- 15 can pick partners who are dedicated to the particular
- 16 program for which they are applying, but there are
- 17 constitutionally permissible ways to do that.
- 18 One of the ways to do that is to look at
- 19 technical capacity, past performance, references, what
- 20 have you done before that shows you're able to do this
- 21 particular program?
- 22 JUSTICE SCALIA: Well, it isn't just able to
- 23 do. Are -- are you saying that they -- they just can't
- 24 make it a prior condition, but they can select
- 25 applicants on the basis of which ones they know agree

- 1 with the government's objectives?
- 2 You -- you have two equally qualified --
- 3 technically, two equally qualified applicants, and the
- 4 government intentionally picks the one whose views on --
- 5 on prostitution are -- are similar to the government's.
- 6 Is -- is that bad?
- 7 MR. BOWKER: Yes. And -- and the reason
- 8 it's bad is because the government there is focused on
- 9 viewpoint and not on ability to perform the program.
- 10 The problem -- the problem with focusing --
- 11 JUSTICE KENNEDY: But let -- let me -- let
- 12 me ask you this: Suppose that you're a Congressman or a
- 13 Congresswomen, and you are a constitutional expert, and
- 14 you take your oath to uphold the Constitution very
- 15 seriously.
- 16 A funding bill comes before you. You're the
- 17 chairman of the committee, and you decide that you're
- 18 going to fund A, rather than B, because you like their
- 19 speech much better. Is that a violation of the
- 20 Constitution?
- MR. BOWKER: Well --
- 22 JUSTICE KENNEDY: Because you like their
- 23 policies much better?
- MR. BOWKER: The -- the Congress can
- 25 certainly fund a particular program and not fund others.

- 1 And we have no -- we have no argument with that. The
- 2 spending condition -- the Spending Clause definitely
- 3 comes with that ancillary power. And, in fact, that's
- 4 what the Congress did here. It said, we want to -- we
- 5 want to fund a fight against HIV/AIDS. We don't want to
- 6 support that disease, and we want to oppose
- 7 prostitution, we don't want to support that practice.
- What it cannot do, then, is take its
- 9 viewpoint and impose its viewpoint on the grantee and
- 10 make it a -- make it a condition.
- 11 JUSTICE KENNEDY: Well, I'm -- I'm not quite
- 12 sure I -- I see the difference, that the -- a
- 13 conscientious Congressperson cannot -- can, in your
- 14 view, say, I'm going to prefer organization A over
- 15 organization B because I like their policies better --
- MR. BOWKER: Well, I don't --
- 17 JUSTICE KENNEDY: -- across the board, with
- 18 reference to drugs, with reference to guns, with
- 19 reference to public health.
- 20 MR. BOWKER: If -- if Congress is looking at
- 21 the viewpoint of an organization and deciding whether to
- 22 fund it based on its viewpoint, I think that's
- 23 problematic. And the reason I think it's problematic is
- 24 because this Court has said to deny a subsidy or a
- 25 benefit on the basis of the exercise of one's First

- 1 Amendment rights, including holding one's own views, to
- 2 deny a subsidy on that ground --
- JUSTICE SCALIA: Well, my goodness. They
- 4 can't --
- 5 MR. BOWKER: -- is problematic.
- 6 JUSTICE SCALIA: -- they can't fund the Boy
- 7 Scouts of America because they like the programs that
- 8 the BSA has? They -- they have to treat them
- 9 equivalently with the Muslim Brotherhood? Is that
- 10 really what you're suggesting?
- MR. BOWKER: Not at all. I think --
- 12 JUSTICE SCALIA: Well, then, you can take
- into account the -- the principles and the -- and the
- 14 policies of -- of the organization that you're giving
- 15 funding to.
- 16 MR. BOWKER: Well, this Court has never said
- 17 that the Congress can make a decision based on viewpoint
- 18 alone.
- 19 JUSTICE BREYER: But there's no way to
- 20 separate -- with an organization in the field that does
- 21 things, there is no way, I don't think, to separate what
- 22 they do from what they say. Congress has two opposite
- 23 views on this in front of it.
- MR. BOWKER: Sure.
- JUSTICE BREYER: One is the view that the

- 1 way to fight AIDS is consistent with and is furthered by
- 2 longer term efforts to abolish trafficking in women,
- 3 okay? Prostitution.
- 4 All right. The other view is the better way
- 5 to do it is to go into the active sex worker area and --
- 6 and not express views on the merits of what they are
- 7 doing, okay?
- 8 So they have two opposite views, and -- and,
- 9 moreover, the groups that do this act on those views.
- 10 So why can't they say, we prefer view A or B, whichever
- 11 it is, and -- because that's what our program is about?
- MR. BOWKER: Congress can -- can certainly
- decide what programs to fund and what programs not to
- 14 fund. But, when Congress makes that decision, Congress
- 15 then can't take the next step to say the only people who
- 16 can get funds under this particular program are people
- 17 who agree with us and who will refrain from saying
- 18 anything inconsistent in their private speech.
- 19 JUSTICE SCALIA: But you -- you go further
- 20 than that. In answer to my question, you -- you go
- 21 further than that, and you say, moreover, even without
- 22 making it a condition precedent to getting the money,
- 23 Congress can -- the government cannot intentionally
- 24 select those people that it thinks are in accord with
- 25 its views. Right? Isn't that what you said?

Page 35 1 MR. BOWKER: The Court has never said that's okay, and it's our -- and it's our --2 3 JUSTICE SCALIA: I'm not asking what the 4 Court said; I'm asking what you're saying. 5 MR. BOWKER: It's our position that it is 6 constitutionally problematic to make funding decisions based on the viewpoint of grantees. 8 JUSTICE SCALIA: Problematic or -- or unconstitutional? 9 10 MR. BOWKER: Unconstitutional as applied 11 here. 12 JUSTICE SCALIA: Okay. 13 MR. BOWKER: However -- however, we are not 14 saying that there is no circumstance in which the government's interest wouldn't be compelling enough to 15 override the First Amendment right. Now, in our 16 17 situation --18 CHIEF JUSTICE ROBERTS: So let's just say the government wants to have an ad campaign to 19 discourage people from smoking and they are looking for 20 21 ad agencies to -- to help them with it. And an ad 22 agency comes in and says, look, we are the best ad agency there is; we know exactly how to get to the 23 24 markets; we know what's persuasive and all of that.

And yet -- and then the ad agency says --

25

- 1 you know, come work at our agency if you smoke; we think
- 2 smoking is okay; we have smoking breaks; we do all this.
- 3 The government can't take that into account?
- 4 MR. BOWKER: I think the rules are different
- 5 when the government hires a spokesperson. When the
- 6 government hires a spokesperson, the government has the
- 7 right under the -- under its ancillary power, under the
- 8 Spending Clause, to control what that spokesperson says
- 9 for the government. And --
- 10 CHIEF JUSTICE ROBERTS: Well, isn't that
- 11 part of what's going on here? One of the things we want
- 12 to do is eradicate prostitution and sex trafficking, and
- 13 we want you to get that message out, and the one thing
- 14 we're sure of is if you're not in -- if you're in
- 15 favor -- or you're not opposed to it because you have
- other objectives, you're not going to help get the
- 17 message out at all?
- 18 MR. BOWKER: Well, the -- the government
- 19 does say that. The government says, what we need to
- 20 prevent is the situation where the -- the government
- 21 spokesperson says one thing with public funds, turns
- 22 right around and says the opposite with private funds.
- 23 And what we say is this is an as-applied
- 24 challenge. We have -- it's -- the government concedes
- 25 my clients have not been enlisted as government

- 1 spokespersons and they are not responsible for conveying
- 2 any viewpoint or any message.
- 3 And I'd like to talk for a moment about what
- 4 my clients really do. In the field, my clients provide
- 5 services in the fight against HIV/AIDS, things such as
- 6 preventing mother-to-child transmission of HIV in
- 7 Tanzania, caring for orphans of AIDS victims in Kenya,
- 8 and providing HIV/AIDS support services in places like
- 9 Vietnam.
- 10 And -- and this is at JA 88 and 89, where you
- 11 can see the list of things that my clients do. None of
- 12 those things relate to an opposition to prostitution and
- 13 none of those things relates to messaging.
- JUSTICE SOTOMAYOR: Excuse me. That's my
- 15 problem, which is I'm trying to tease out what your
- 16 position is, okay? I -- I have an understanding of
- 17 you're saying, you can't compel me to say I don't like
- 18 something. And -- and that's like a oath of loyalty.
- 19 That -- that's understandable.
- 20 But, if the government said the following
- 21 more clearly -- this is an oddly phrased policy, okay,
- 22 because it seems to be requiring this oath. But if it
- 23 simply said, if you're an organization that wants our
- 24 funds, you have to say that you're not going to promote
- 25 actively the contrary policy, would that be okay?

- 1 You're not going to go out there and do
- 2 things to promote the legalization of prostitution
- 3 because that's going to undermine our message. Those
- 4 are two different positions, so tell me where you draw
- 5 the line.
- 6 MR. BOWKER: Certainly, that would be okay
- 7 within the four corners of the government program. The
- 8 government controls speech and conduct within its
- 9 program. It can tell us what not to say within the
- 10 program. And that's Rust.
- 11 JUSTICE SOTOMAYOR: Yes, that's Rust.
- 12 MR. BOWKER: And that's Rust. And what --
- 13 JUSTICE SOTOMAYOR: This is a step further.
- MR. BOWKER: And what Rust says, and I -- I
- 15 think we fall back on Rust, which we think is just on
- 16 all fours with where we are here, and that is what the
- 17 government cannot do -- and I think this answers your
- 18 question -- is, outside the government program, the
- 19 government cannot control private speech.
- 20 And it was critical in that case -- Justice
- 21 Rehnquist, at pages 196 and 197, said, "The doctors
- 22 there and the public health organizations there are free
- 23 to engage in their own private speech and their own
- 24 activities, and they are not required to endorse any
- 25 viewpoint they don't, in fact, hold."

- 1 And here --
- 2 CHIEF JUSTICE ROBERTS: But that is saying
- 3 this is what's happening in Rust, okay? And Rust is
- 4 okay. That's very different from saying, it has to be
- 5 that way, and, if it's any other way, it's no good. It
- 6 seems to me that you're just taking the limitation on
- 7 the facts in Rust and saying that is an absolute
- 8 requirement, which is a misreading of the case.
- 9 MR. BOWKER: Rust does not say that, to be
- 10 clear. But the reasoning of Rust -- and the majority's
- 11 reasoning there makes quite clear that the reason the
- 12 Court was comfortable there is that the recipient was
- 13 not the target of the control. The control was around
- 14 the program, and the recipient was free outside the
- 15 program.
- 16 And -- and Respondents here have respected
- 17 that line. There is no question that, for the past 10
- 18 years, even though the policy requirement has not been
- 19 enforced -- initially because the Department of Justice
- 20 concluded that it is unconstitutional and then,
- 21 subsequently, because the district court enjoined it --
- 22 it has not been enforced for the last decade,
- 23 essentially.
- JUSTICE SOTOMAYOR: So --
- MR. BOWKER: And there's no evidence of harm

Page 40 1 at all here, so there's none of this undercutting the program that the government is alleging here. 2 3 Sorry, Justice. 4 JUSTICE SOTOMAYOR: No, no, no. I cut you off. 5 6 But -- but I guess what I'm -- I keep going 7 back -- you keep going back and forth on this it -- it's not okay to tell me to take an oath of loyalty. 8 would it be okay for you to step outside the doors of 9 10 this program and pass out literature that promotes the 11 legalization of prostitution? 12 Am I making my question clear? 13 MR. BOWKER: Yes. 14 JUSTICE SOTOMAYOR: Which is how do you --15 how do you answer the question of why does the Constitution bar the government from saying, look, if 16 17 you're going to work with me, you can't go out there and 18 promote a -- actively promote --19 MR. BOWKER: Right. 20 JUSTICE SOTOMAYOR: -- a different message? 21 MR. BOWKER: That's not the case here, but 22 taking that case --23 JUSTICE SOTOMAYOR: Well --24 MR. BOWKER: Taking that case, I think the 25 government can't do that. I think the government cannot

- 1 gag an organization's private speech outside the
- 2 program.
- Now, even the government says there has to
- 4 be some germaneness between what they are doing in the
- 5 program and what our requirement is. So I do think it
- 6 would be a tougher case for us and a stronger case for
- 7 the government if my clients were engaged in a program
- 8 that opposed prostitution -- we're not, but if we
- 9 were -- and then we went right outside and said the
- 10 opposite with our private funds, I think they would have
- 11 an easier time showing that there is some compelling
- 12 interest that overrides the First Amendment interest.
- Now, I think it would depend on the facts,
- 14 and those are not the facts here.
- 15 JUSTICE BREYER: Only because -- see, it's
- 16 not -- it's not, in my opinion, not a viewpoint matter,
- 17 if they're going to fund a -- a group that wants to end
- 18 discrimination against women around the world because
- 19 they think all kinds of good things will flow from that.
- 20 The government wants to fund it. Of course, such a
- 21 group has a viewpoint; that's why they're in the
- 22 business.
- 23 So the word isn't "viewpoint." And you
- 24 started to say something about that there is more than
- 25 that here, it has to do with the express nature. And,

- 1 then, in answering Justice Sotomayor, you went a little
- 2 bit further on that. And what are the form of
- 3 words -- if you were me and if I were to decide in your
- 4 favor, what form of words would you dictate to describe
- 5 where it is in your opinion that the First Amendment
- 6 cuts in with a preventative restriction?
- 7 How do you describe it? I -- I don't think
- 8 you can, in terms of viewpoint.
- 9 MR. BOWKER: I don't think you can, in terms
- 10 of viewpoint, either, Justice Breyer. I do think that
- 11 the key -- the key that this Court outlined in Rust is
- 12 the government's authority to control its program. And,
- if there is a threat to its program and the government
- 14 needs to take some action in order to protect its
- 15 program, prevent the message from being garbled or
- 16 distorted, whatever the language is, then the
- 17 government's case is strongest.
- 18 Here, that is not at all what is happening.
- 19 As I described, our programs are not opposition to
- 20 prostitution programs. Our programs are HIV testing.
- 21 These are mother-to-child transmission situations, where
- 22 we're trying to stop the disease from spreading.
- 23 JUSTICE SCALIA: Let me -- can I -- can I be
- 24 sure --
- MR. BOWKER: Yes.

Page 43 JUSTICE SCALIA: -- I understand what you've 1 just conceded in -- in your response to Justice Breyer? 2 3 The government could require, as a condition 4 to come into this program and become a partner with the United States, that the recipient not have the viewpoint 5 of favoring prostitution? 6 7 MR. BOWKER: No. 8 JUSTICE SCALIA: Well, you said it's not a viewpoint thing. 9 10 MR. BOWKER: No. The government cannot 11 target viewpoint, and, for us, that's -- that's a 12 bright-line rule. 13 JUSTICE SCALIA: I thought that's what you 14 just said to Justice Breyer. JUSTICE BREYER: I did, too, because I -- I 15 didn't see the reason. I thought that was -- I can 16 think of dozens and dozens of programs all over the 17 18 world that the government supports, in some way or other, and, of course, the people in those programs have 19 a certain viewpoint, and, of course, they don't hold the 20 21 opposite viewpoint. 22 Otherwise, they wouldn't be in the program. So -- so that's why I didn't find that useful. But, 23 24 now, I don't think you can have it both ways between 25 answering these questions. What is the answer?

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Page 44
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                 MR. BOWKER: Well --
                 JUSTICE SCALIA: Him or me? You have to
 2
 3
     choose.
 4
                 (Laughter.)
                 MR. BOWKER: Mr. Chief Justice, I need your
 5
 6
    help on --
 7
                 (Laughter.)
 8
                 CHIEF JUSTICE ROBERTS: You can always
9
     choose me, too.
10
                 (Laughter.)
11
                 MR. BOWKER: Well, our position here is that
12
    viewpoint is not the basis on which a decision can be
            That is our position. We think the government
13
14
    has a multitude of permissible grounds on which to make
     these types of decisions, and they do it every day in
15
     every other program where they don't have this odd
16
    policy requirement. They do it every day.
17
18
                 CHIEF JUSTICE ROBERTS: Your approach, it
     seems to me, is just dealing with the breadth of the
19
    program. If the program here solely concerned
20
21
    prostitution and sex trafficking and not other areas
22
    where you say, look, we do a great job in these other
     areas, we just don't get involved in that area.
23
24
                 But, if the sole program was on prostitution
25
     and sex trafficking, you -- you wouldn't have a leg to
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Page 45 1 stand on, would you? MR. BOWKER: We absolutely would have a leg 2 to stand on, and let me just explain --3 4 CHIEF JUSTICE ROBERTS: So you're an organization --5 MR. BOWKER: -- what I attempted to concede 6 7 before, and that is, if -- if the government -- in that 8 narrow case, where the government is hiring a spokesperson, which is what they've focused on, saying 9 10 one thing with public funds and turning right around and 11 saying another with private funds, there is no case that says they can gag the private speech of that 12 13 spokesperson. 14 But what we're saying is it is certainly 15 possible that they would have a stronger case in that particular circumstance; however, this is an as-applied 16 challenge. My clients are not spokespersons; they 17 18 concede that. My clients are not delivering a message or any particular viewpoint on behalf of the government, 19 and they concede that. 20 21 JUSTICE KENNEDY: Let me -- let me just 22 ask -- ask this one more time. It seems -- because it seems to me that, when you get into the details of your 23 24 answer, you indicate, oh, well, the government has lots 25 of other criteria it could use, which seems to me just

- 1 an invitation to disguise what the government's real
- 2 motive is.
- 3 Suppose the government's interested in
- 4 preventing and stopping the spread of malaria. And
- 5 there's an organization that's marvelous at delivering
- 6 the proper message for this, but they criticize the
- 7 United States often. So they choose an organization
- 8 that's not quite as good, but is quiet on these other
- 9 issues.
- 10 Is that permissible for the Congress to do?
- 11 MR. BOWKER: No, I don't think it is. To
- 12 the extent the -- the criteria used by the Congress are
- 13 merely pretext to --
- JUSTICE KENNEDY: Well, this isn't -- no.
- 15 My concern was that your position was pretext. Here,
- 16 the Congress is right upfront.
- 17 MR. BOWKER: And says this is the reason.
- JUSTICE KENNEDY: And they say, the reason
- 19 we're not giving to organization A is because it's
- 20 always critical of the United States, even though its
- 21 technical skills are better, we prefer organization B.
- 22 Congress cannot do that?
- 23 MR. BOWKER: Congress cannot do that.
- 24 Now --
- JUSTICE KENNEDY: And your best case for

- 1 that proposition is what?
- MR. BOWKER: Well, even the government
- 3 concedes that -- that they can't do that. They -- what
- 4 they say is that in -- it must be germane. That's their
- 5 limiting principle.
- 6 JUSTICE KENNEDY: Well, I'm -- I'm not sure
- 7 they should if they're going to -- if they're going to
- 8 be able to establish the principle that allows them to
- 9 prevail in this case, and that's why I'm asking.
- 10 MR. BOWKER: I -- I don't think that that's
- 11 permissible because all that is, is penalizing a
- 12 particular viewpoint and withholding a subsidy or
- 13 benefit based on viewpoint.
- 14 CHIEF JUSTICE ROBERTS: I just want to make
- 15 sure I -- the government has a program to develop water
- 16 resources, and let's say it's in South Africa before the
- 17 abolition of apartheid. And there's a pro-apartheid
- 18 group and an anti-apartheid group.
- And you're saying the government can only
- 20 decide which one is better at digging wells, and it
- 21 can't say, we're going to prefer the anti-apartheid
- 22 group?
- 23 MR. BOWKER: Well, that -- I don't think it
- 24 can make that decision based on viewpoint. However --
- 25 CHIEF JUSTICE ROBERTS: Viewpoint on

- 1 apartheid. It can't say -- so the other one shows we've
- 2 got a better record, we dig the wells quicker.
- 3 MR. BOWKER: I mean, the -- the reason that
- 4 that case is so much tougher than this one is because,
- 5 in this one, they're not attempting to select
- 6 organizations that will do the best job by using the
- 7 policy requirement.
- 8 The policy requirement is being used after
- 9 the organization has been selected to say, now that
- 10 you've been selected, we want you to toe the line. We
- 11 want you to profess your belief in our viewpoint and not
- 12 to say anything with your private funds outside the
- 13 program. So it is so far beyond this -- this weighing
- 14 in a selection situation.
- 15 CHIEF JUSTICE ROBERTS: It goes to the
- 16 effectiveness of the program. It's related to it. The
- 17 United States doesn't want the company or the organization
- 18 that goes into a village and says, we're going to bring -
- 19 you know, this is from the United States, we're bringing
- 20 you fresh water, and it's a -- it's a pro-apartheid group.
- 21 That does undermine what they are trying to
- 22 do, just as in this case, to have the organization
- 23 providing the services that your organization provides
- 24 be identified as as an organization that doesn't want to
- 25 abolish prostitution.

Page 49 1 MR. BOWKER: Yes, I understand. I -- I think the government could -- if it 2 3 could make the case that an organization will be unable 4 to do this effectively because of what it has said in the past -- or what it has done in the past or how --5 6 how the population associates -- what the population associates with that organization. 8 But here -- here -- and the government even concedes -- there would -- there has to be some -- I 9 10 think the word was -- it has to be tightly tethered to 11 the programmatic objective. Now, we think that's -that's way too easy to fulfill; that should not be the 12 standard. But that's what they say the limiting 13 14 principle is, is germaneness, tightly tethered. In -- in your example, I think that probably 15 doesn't even meet their limiting principle. But, in our 16 17 case -- in our case, there is no tethering at all. are out testing for the disease by extracting blood and 18 running tests. We're out caring for orphans. We are 19 20 out improving public health services that NGOs deliver, 21 and they're saying, now, you have to profess your belief 22 in our particular viewpoint --JUSTICE SCALIA: Does it say, "profess 23 24 belief." I was going to ask you about that. That's not 25 what the statute says. It just says they have to have a

- 1 policy.
- 2 MR. BOWKER: Well --
- JUSTICE SCALIA: A policy. Which means, I
- 4 suppose, they have to tell their employees don't do
- 5 anything to -- to foster prostitution, but they don't
- 6 have to get up -- get up and announce publicly, we
- 7 oppose prostitution, do they?
- 8 MR. BOWKER: Well, as it's been applied
- 9 to us, it's more than just have a policy. It's have a
- 10 policy and then tell us you agree with our policy, and
- 11 we want to make sure that you believe it, so we know
- 12 that you will do a good job in the program. So the
- 13 purpose here is to police --
- 14 JUSTICE SCALIA: They can get all that
- 15 without making you profess it, unless -- unless you
- 16 consider the only -- only profession to be the assurance
- 17 to the -- to your partner, the United States government,
- 18 that you -- that you, in, fact oppose prostitution.
- MR. BOWKER: Well, that's -- that's
- 20 precisely it, Justice Scalia, is we are required to
- 21 profess our allegiance to the government.
- 22 JUSTICE SCALIA: To tell the government.
- Okay. That's -- that's the only profession you're
- 24 talking about?
- MR. BOWKER: That's the profession that

- 1 we're required to --
- JUSTICE SCALIA: Oh, okay.
- 3 MR. BOWKER: -- that's the pledge. As -- as
- 4 the author of -- of the provision called it, it was the
- 5 pledge. That's the pledge to the government. Now --
- 6 JUSTICE BREYER: And then they're doing
- 7 that, they say, because we're part of the belief, as a
- 8 matter of policy, that the best way to go about this,
- 9 whether you think so or not, is to restrict the grants
- 10 to those people who will oppose the long-term extension
- 11 of prostitution expressly.
- Now, that's their view of how to get rid of
- 13 AIDS, you say. Might disagree with it, but there are
- 14 plenty of people who think the opposite. So they're
- 15 saying we're not doing it for any reason other than to
- 16 further our policy.
- 17 MR. BOWKER: The government, no doubt, has a
- 18 good reason for putting it there. The problem is the
- 19 First Amendment, and where does that -- where does that
- 20 end? What is the limiting principle? If all that's
- 21 required here is germaneness and then you give a dollar
- 22 and you own the viewpoint and you own the private
- 23 speech, where does that end?
- 24 What that means is -- on the government's
- 25 theory, the government can give you -- can give anyone

- 1 in the country a dollar in Medicare funds and say, okay,
- 2 now that you've taken a dollar of our money, we want you
- 3 to profess your agreement with the Affordable Care Act,
- 4 and we want you to never say anything inconsistent with
- 5 that in your private speech.
- 6 That is -- that is wildly inconsistent with
- 7 the First Amendment. That's exactly what's happening
- 8 here. The only difference is the subject of
- 9 prostitution. That's what makes it less palatable.
- 10 But for us --
- 11 JUSTICE GINSBURG: Are you -- what -- are
- 12 you saying that you can -- within the government
- 13 program, within the government program, the government
- 14 can specify whatever it wants, including this -- this
- 15 policy, but it can't then say, and the organization
- 16 outside the program is also bound by this profession?
- 17 MR. BOWKER: Within the program, they can
- 18 tell us, if we are speaking for them, what to say,
- 19 and -- on their behalf, not ours, and they can tell us
- 20 what not to say, which is Rust. They cannot command
- 21 fealty to their viewpoint, and they certainly cannot
- 22 control our private speech outside the program.
- Now, to be clear, I just want to address one
- 24 last thing before my time runs. To be clear,
- 25 Respondents here do not promote prostitution, nor do

- 1 they approve of it. They merely want to be free in
- 2 their own private programs to operate those programs as
- 3 they see fit, consistent with public health objectives,
- 4 and they want to be able to participate in the policy
- 5 conferences.
- 6 They want to be able to publish papers, and
- 7 they want to be able to be a part of the discussion in
- 8 the marketplace of ideas right here in the United
- 9 States, not in the -- in the nether reaches of the
- 10 world -- right here in the United States.
- 11 They would like to be free to engage in this
- 12 important discussion and to be unfettered by a policy
- 13 requirement that demands fealty to the government's
- 14 viewpoint.
- 15 Now, the First Amendment gives Respondents
- 16 that right, and -- and that's why we are here.
- 17 So unless the Court has further questions?
- 18 CHIEF JUSTICE ROBERTS: Thank you, counsel.
- 19 Mr. Srinivasan, you have 4 minutes
- 20 remaining.
- 21 REBUTTAL ARGUMENT OF SRI SRINIVASAN
- 22 ON BEHALF OF THE PETITIONERS
- 23 MR. SRINIVASAN: Thank you, Mr. Chief
- 24 Justice.
- 25 Just a -- a few points in rebuttal.

- 1 First, by -- by way of characterizing this
- 2 requirement, I think there has been a suggestion made
- 3 that what we are trying to do is impose a viewpoint on
- 4 organizations. This is not a matter of imposing a
- 5 viewpoint on somebody.
- 6 It's a matter of picking organizations with
- 7 which to work who self-identify as having views that are
- 8 commensurate with the government's views, so that they
- 9 will be reliable in carrying out the government's
- 10 program.
- Now, Justice Kennedy, you'd asked about
- 12 why -- whether the foreign context of the case--
- 13 JUSTICE ALITO: I don't -- I don't want to
- 14 interrupt your rebuttal, but I don't see the difference
- 15 between those two -- those two things that you just
- 16 tried to distinguish.
- 17 MR. SRINIVASAN: Because it goes to
- 18 the -- the limitation that the Court has imposed in its
- 19 decisions about leveraging funding so as to suppress
- 20 viewpoints. That's not what's going on here. This is
- 21 not a case in which funding is being leveraged to
- 22 suppress a viewpoint.
- 23 It's a case in which we are trying to get an
- 24 ex ante determination of whether the organizations that
- 25 are going to carry out the Federal program agree with

- 1 our policies. If they do, they can participate --
- JUSTICE ALITO: Well, suppose you have an
- 3 organization that previously has expressed support for
- 4 the legalization of -- of prostitution, but, then, when
- 5 you tell them, well, if that's your policy, you can't
- 6 get our money, they say, well, we need your money, so
- 7 we're going to have to say uncle and, now, we are
- 8 opposed to the legalization of prostitution.
- 9 That then -- that isn't trying to change
- 10 people's viewpoint?
- 11 MR. SRINIVASAN: I don't think --
- 12 JUSTICE ALITO: To change the viewpoint that
- 13 they are expressing?
- 14 MR. SRINIVASAN: It's not -- Justice Alito,
- 15 with all respect, I don't think it's trying to change
- 16 their viewpoint. I think if they decide, later on, that
- 17 they would affirm to us that they agree with the policy
- 18 at that point in time, well, we may -- we may take that
- 19 observation and engage them.
- 20 But I don't think that effort is to try to
- 21 change their viewpoint. It's to try to get them to
- 22 self -- to self-identify that they are going to be
- 23 reliable in carrying out the government program.
- Justice Kennedy, you'd asked the question
- 25 about whether the foreign context matters, and I talked

- 1 about why it matters, in the sense that monitoring can
- 2 be challenging in this context. It also matters in
- 3 another sense, that I should add, which is that, when
- 4 the organizations are doing this work in those areas,
- 5 they are identified as working with the United States
- 6 government.
- There is a statutory provision at 291(a) of
- 8 the Petition Appendix, which is 22 U.S.C. 7611(h), and
- 9 that requires the global AIDS coordinator to develop a
- 10 message that enhances awareness by program recipients
- 11 that the program is an effort on behalf of the citizens
- 12 of the United States.
- 13 So there is a real perception out there
- that, when the organization is carrying out its
- 15 functions, it's doing so at the behest of the United
- 16 States citizens. And part of what Congress wanted to do
- 17 was to avoid a misimpression about why -- about what the
- 18 United States' policy priorities are.
- 19 And one way to do that is to assure that the
- 20 organizations with which the United States works share
- 21 the United States' policy commitment against
- 22 prostitution and sex trafficking.
- 23 JUSTICE SOTOMAYOR: I would have less
- 24 problem accepting your message if there weren't four
- 25 major organizations who were exempted from the policy

- 1 requirement and -- medical science -- vaccinators are
- 2 exempted.
- 3 There seems to be a bit of selection on the
- 4 part of the government in terms of who it wants to work
- 5 with. It would seem to me that, if you really wanted to
- 6 protect the U.S., you wouldn't exempt anybody from this.
- 7 MR. SRINIVASAN: Justice Sotomayor, Congress
- 8 is not required to -- to pursue every objective, no
- 9 matter what the cost may be. The Court confronted a
- 10 similar situation in Regan. That case involved an
- 11 exemption for veterans. The Court applied a rationality
- 12 standard and said -- said that was fine. And there's,
- 13 certainly, a rationale here --
- 14 JUSTICE GINSBURG: Mr. Srinivasan, that was
- one -- one -- veterans. Everybody else was subject to
- 16 the lobbying restriction. Here, it's 20 percent of the
- 17 funds go to the organizations that are free from this
- 18 pledge.
- 19 MR. SRINIVASAN: Justice Ginsburg, I think
- 20 the exemption for these organizations makes good sense
- 21 if you consider the character of the organizations.
- 22 Three of the four are -- have members that are sovereign
- 23 entities. And so one can understand --
- 24 CHIEF JUSTICE ROBERTS: Mr. Srinivasan -
- 25 MR. SRINIVASAN: Can I just finish this

Page 58 1 thought? 2 One can understand why Congress would have 3 wanted to tread with sensitivity when -- when we are 4 dealing with foreign countries, especially foreign countries that have different views about prostitution. 5 And there's less of a danger -- and this is 6 7 the final point -- there's less of a danger in that context that those entities' views are going to be 8 9 misattributed to the United States precisely because they are foreign countries. 10 11 CHIEF JUSTICE ROBERTS: Thank you, counsel. 12 Counsel. 13 The case is submitted. 14 (Whereupon, at 12:00 p.m., the case in the above-entitled matter was submitted.) 15 16 17 18 19 20 21 22 23 24 25

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